

1. Show that the Bloch wavefunction

$$\psi(\mathbf{r}, \mathbf{t}) = \frac{1}{\sqrt{V}} \mathbf{u}_{\mathbf{k}}(\mathbf{r}) e^{i(\mathbf{k} \cdot \mathbf{r} - \omega \mathbf{t})},$$

where $u_{\mathbf{k}}(\mathbf{r})$ is a periodic function with the period of the lattice is unchanged by a shift

$$\mathbf{k}' = \mathbf{k} + \mathbf{G}_0$$

where $\mathbf{G}_0 = \mathbf{h}\mathbf{a}^* + \mathbf{k}\mathbf{b}^* + \mathbf{l}\mathbf{c}^*$ is a reciprocal lattice vector.

2. Calculate the ratio k_F/k_m for metals of valency 1, for both the fcc and bcc structures, where k_F is the free electron Fermi wavenumber and k_m is the minimum distance in reciprocal space from the origin to the boundary of the first Brillouin zone.
3. Find the first Brillouin zone of the honeycomb/hexagonal lattice by considering the reciprocal lattice vectors and sketch it.
4. Consider e^{ikx} and e^{-ikx} . What are the only orthogonal linear combinations, $\phi_1(x)$ and $\phi_2(x)$, of these functions which satisfy

$$\int dx \phi_1^*(x) V(x) \phi_2(x) = 0,$$

for all k where $V(X)$ is a periodic potential which can be written as

$$V(x) = - \sum_{n=1}^{\infty} V_n \cos\left(\frac{2\pi n x}{a}\right).$$

5. **To be handed in.** Near the boundary of the first Brillouin zone, for a one-dimensional chain of atoms, at $k = \pi/a$, the nearly free electron theory predicts that the most important term in the lattice potential is $V(x) \approx V_1 \cos[2\pi x/a]$. The wavefunction is then approximately

$$\psi(x) \approx \alpha e^{ikx} + \beta e^{i(k-2\pi/a)x}.$$

Substitute this wavefunction into Schrödinger's equation:

$$-\frac{\hbar^2}{2m} \frac{d^2}{dx^2} \psi(x) + V(x) \psi(x) = \epsilon \psi(x).$$

To find equations for α and β we can use that e^{ikx} and $e^{i(k-2\pi/a)x}$ are *orthogonal*. Let us do this explicitly. Multiply the result of your substitution of the $\psi(x)$ into Schrödinger's equation by

- (a) e^{-ikx} , and
 (b) $e^{-i(k-2\pi/a)x}$.

Then integrate over all space to find two equations for α and β . Solve these equations and show that

$$\epsilon = \frac{\hbar^2 k^2}{2m} + \frac{\pi \hbar^2}{ma} \left[\frac{\pi}{a} - k \pm \sqrt{\left(\frac{\pi}{a} - k\right)^2 + \left(\frac{amV_1}{2\pi\hbar^2}\right)^2} \right]$$

is the energy. Show that this agree with our solution near $k = \pi/a$ and $k = 0$.

6. Calculate the variation with wavenumber of the electron effective mass m_e for the tight binding dispersion relation $\epsilon = B - 2t \cos(ka)$. Show that the value obtained at $k = \pi/a$ agrees with that obtained by expanding to second order in $k - \pi/a$ about $k = \pi/a$.